


## Thermodynamics Lecture Series

### Assoc. Prof. Dr. J.J.

## Entropy – Quantifying Energy Degradation



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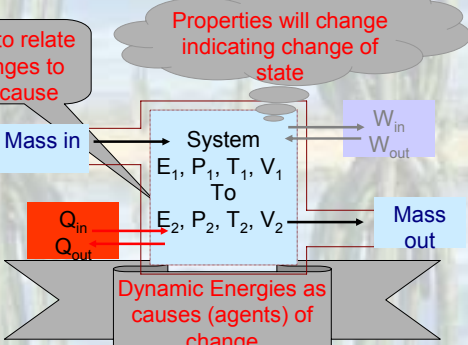
## Quotes

- “The principal goal of education is to create men and women who are capable of doing new things, not simply repeating what other generations have done” Jean Piaget
- “What we have to learn to do, we learn by doing” Einstein

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## Review - First Law

Properties will change indicating change of state

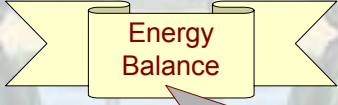


Dynamic Energies as causes (agents) of change

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## Review - First Law

Energy Entering a system	- Leaving a system	= Change of system's energy
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**Energy Balance**

$$E_{in} - E_{out} = \Delta E_{sys}, \text{ kJ or}$$


$$e_{in} - e_{out} = \Delta e_{sys}, \text{ kJ/kg or}$$

$$\dot{E}_{in} - \dot{E}_{out} = \dot{\Delta} E_{sys}, \text{ kW}$$

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## Review - First Law

Mass Entering a system	- Leaving a system	= Change of system's mass
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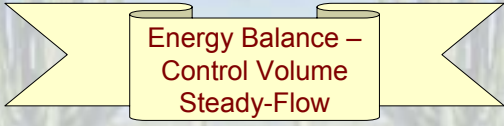
**Mass Balance**

$$m_{in} - m_{out} = \Delta m_{sys}, \text{ kg or}$$

$$\dot{m}_{in} - \dot{m}_{out} = \dot{\Delta} m_{sys}, \text{ kg / s}$$

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## Review - First Law



**Energy Balance – Control Volume Steady-Flow**

Steady-flow is a flow where all properties within boundary of the system remains constant with time

$\Delta E_{sys} = 0, \text{ kJ}; \Delta e_{sys} = 0, \text{ kJ/kg}, \Delta V_{sys} = 0, \text{ m}^3;$   
 $\Delta m_{sys} = 0 \text{ or } m_{in} = m_{out}, \text{ kg } \Delta m_{sys} = 0, \text{ kg/s}$

$$\dot{m}_{in} - \dot{m}_{out} = 0 \text{ or } \dot{m}_{in} = \dot{m}_{out}, \text{ kg/s}$$

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## Review - First Law

**Mass & Energy Balance—Steady-Flow: Single Stream**

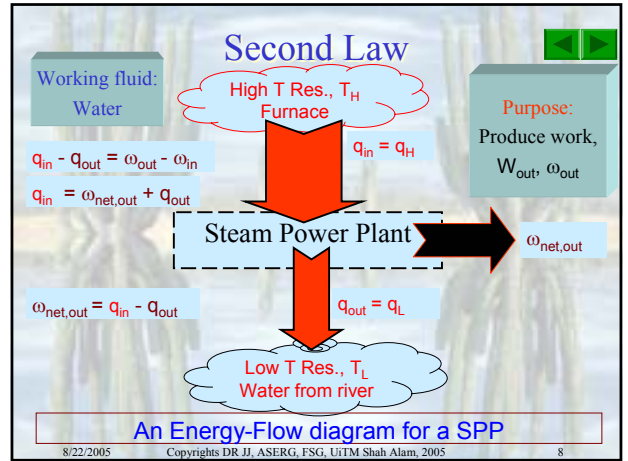
**Mass balance**  
 $\Delta \dot{m}_{sys} = 0$ . So,  $\dot{m}_{in} = \dot{m}_{out}$ , kg/s

**Energy balance**  $\Delta \dot{E}_{sys} = 0$ . So,  $\dot{E}_{in} = \dot{E}_{out}$ , kJ/s

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \left( \dot{m} g \right)_{out} - \left( \dot{m} g \right)_{in}, kW$$

$q_{in} - q_{out} + \omega_{in} - \omega_{out} = \theta_{out} - \theta_{in}, kJ/kg$

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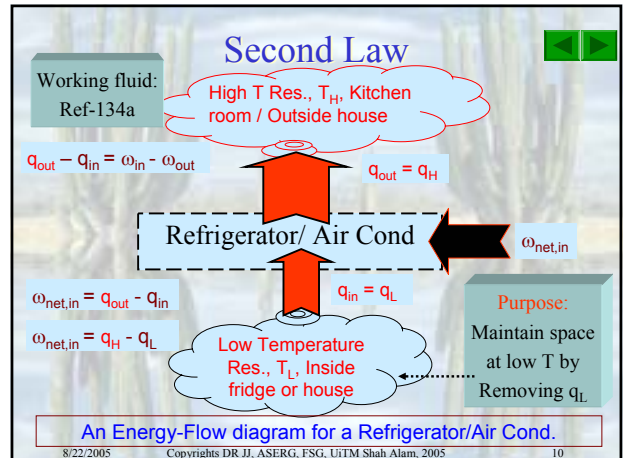
## Second Law

**Thermal Efficiency for steam power plants**

$$\eta = \frac{\text{desired output}}{\text{required input}} = \frac{\omega_{net,out}}{q_{in}}$$

$$\eta = \frac{\omega_{net,out}}{q_{in}} = \frac{q_{in} - q_{out}}{q_{in}} = 1 - \frac{q_{out}}{q_{in}} = 1 - \frac{q_L}{q_H}$$

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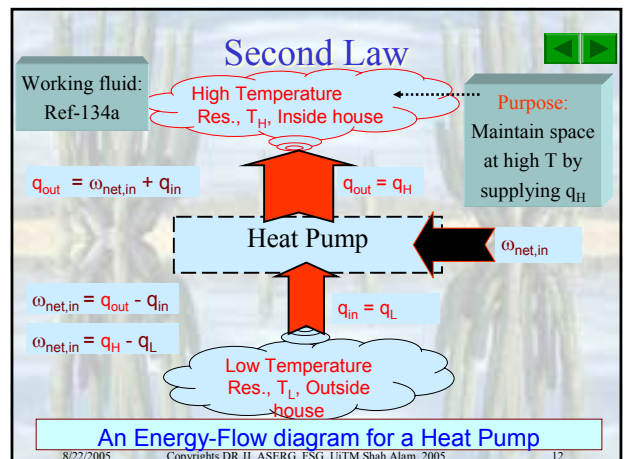
## Second Law

**Coefficient of Performance for a Refrigerator**

$$COP_R = \frac{\text{desired output}}{\text{required input}} = \frac{q_{in}}{\omega_{net,in}}$$

$$COP_R = \frac{q_{in}}{\omega_{net,in}} = \frac{q_{in}}{q_{out} - q_{in}} = \frac{1}{\frac{q_{out}}{q_{in}} - 1} = \frac{1}{\frac{q_H}{q_L} - 1}$$

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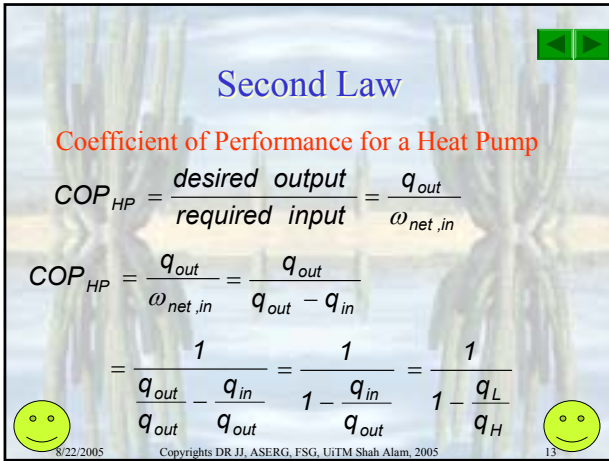


## Second Law

**Coefficient of Performance for a Heat Pump**

$$COP_{HP} = \frac{\text{desired output}}{\text{required input}} = \frac{q_{out}}{\omega_{net, in}}$$

$$COP_{HP} = \frac{q_{out}}{\omega_{net, in}} = \frac{q_{out}}{q_{out} - q_{in}}$$

$$= \frac{1}{\frac{q_{out} - q_{in}}{q_{out}}} = \frac{1}{1 - \frac{q_{in}}{q_{out}}} = \frac{1}{1 - \frac{q_L}{q_H}}$$


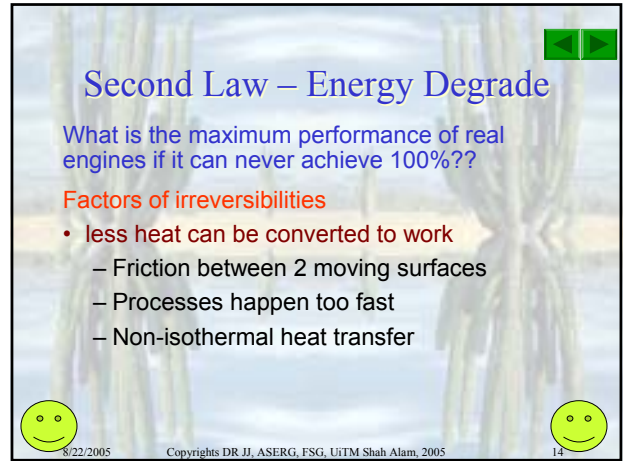
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## Second Law – Energy Degrade

What is the maximum performance of real engines if it can never achieve 100%??

**Factors of irreversibilities**

- less heat can be converted to work
  - Friction between 2 moving surfaces
  - Processes happen too fast
  - Non-isothermal heat transfer

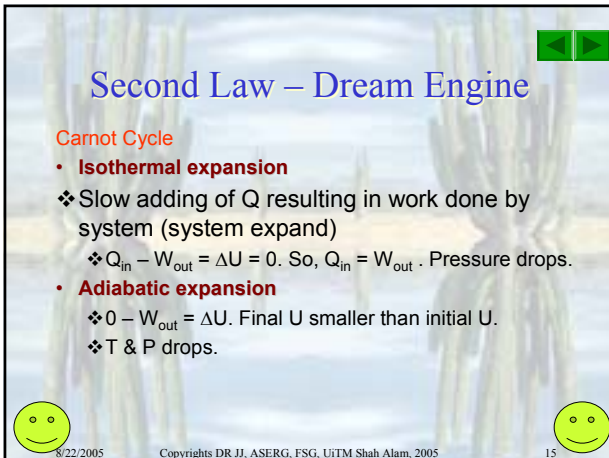


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## Second Law – Dream Engine

**Carnot Cycle**

- **Isothermal expansion**
  - ❖ Slow adding of Q resulting in work done by system (system expand)
  - ❖  $Q_{in} - W_{out} = \Delta U = 0$ . So,  $Q_{in} = W_{out}$ . Pressure drops.
- **Adiabatic expansion**
  - ❖  $0 - W_{out} = \Delta U$ . Final U smaller than initial U.
  - ❖ T & P drops.

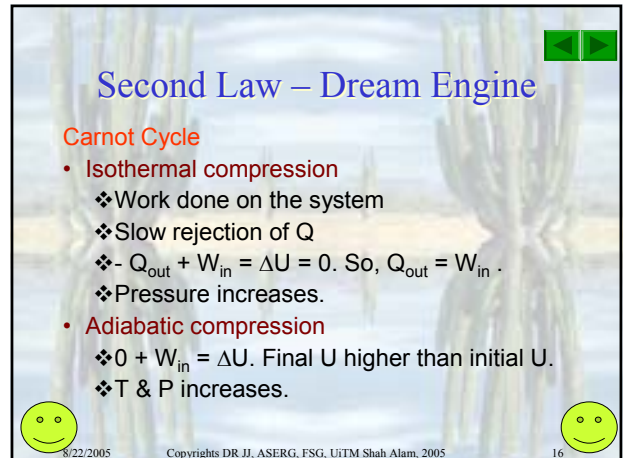


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## Second Law – Dream Engine

**Carnot Cycle**

- **Isothermal compression**
  - ❖ Work done on the system
  - ❖ Slow rejection of Q
  - ❖  $-Q_{out} + W_{in} = \Delta U = 0$ . So,  $Q_{out} = W_{in}$ .
  - ❖ Pressure increases.
- **Adiabatic compression**
  - ❖  $0 + W_{in} = \Delta U$ . Final U higher than initial U.
  - ❖ T & P increases.

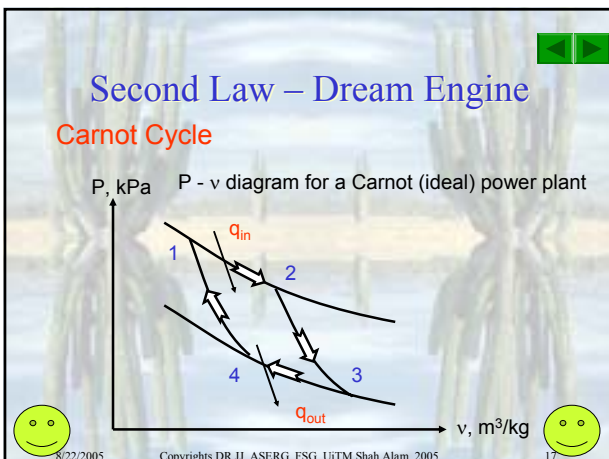
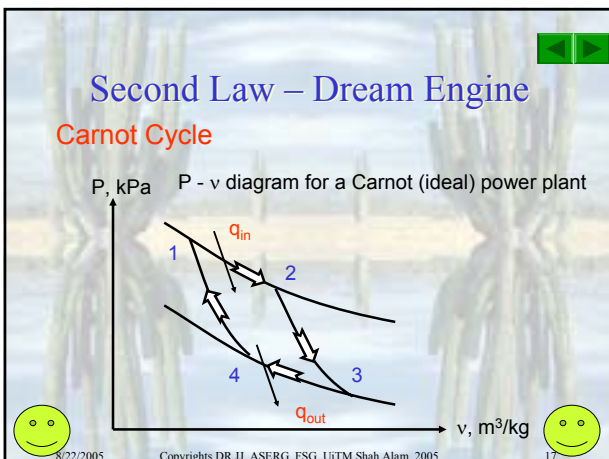


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## Second Law – Dream Engine

**Carnot Cycle**

P, kPa P - v diagram for a Carnot (ideal) power plant

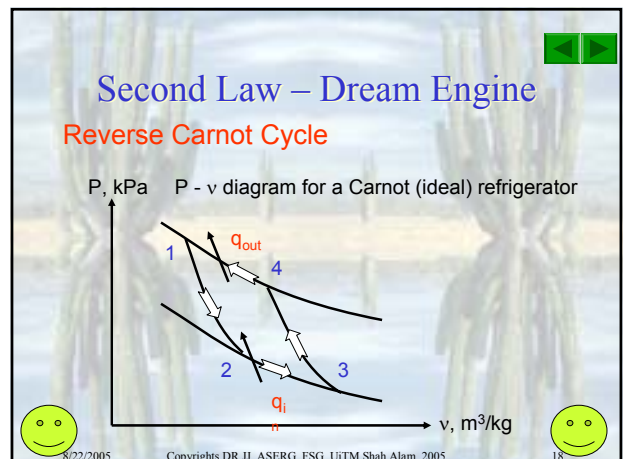
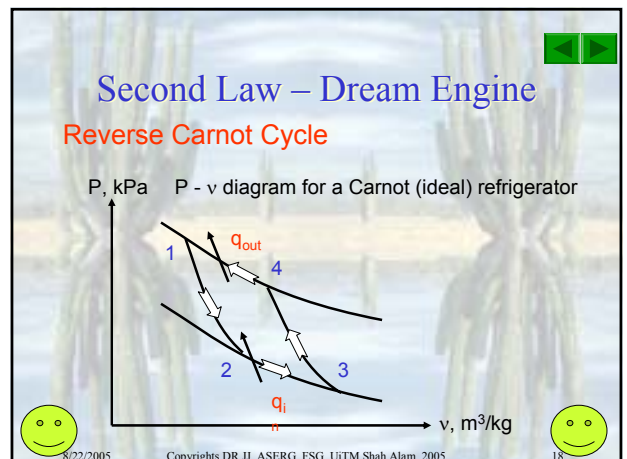



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## Second Law – Dream Engine

**Reverse Carnot Cycle**

P, kPa P - v diagram for a Carnot (ideal) refrigerator

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## Second Law – Dream Engine

**Carnot Principles**

- For heat engines in contact with the same hot and cold reservoir
- All reversible engines have the same performance.
- Real engines will have lower performance than the ideal engines.

$$\left(\frac{q_H}{q_L}\right)_{rev} = \frac{T_H (K)}{T_L (K)}$$

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## Second Law

Working fluid: Not a factor

High T Res.,  $T_H$  Furnace

$P1: \eta_1 = \eta_2 = \eta_3$

Low T Res.,  $T_L$  Water from river

Steam Power Plants

$P2: \eta_{real} < \eta_{rev}$

$$\eta_{real} = 1 - \frac{q_L}{q_H}$$

$$\eta_{rev} = 1 - \frac{T_L (K)}{T_H (K)}$$

An Energy-Flow diagram for a Carnot SPPs

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## Second Law

Working fluid: Not a factor

High T Res.,  $T_H$ , Kitchen room / Outside house

Rev. Fridge/ Heat Pump

Low Temperature Res.,  $T_L$ , Inside fridge or house

$$COP_{HP} = \frac{1}{1 - \frac{q_L}{q_H}}$$

$$COP_R = \frac{1}{\frac{q_H}{q_L} - 1}$$

$$COP_{HP,rev} = \frac{1}{1 - \left(\frac{q_L}{q_H}\right)_{rev}}$$

$$COP_{R,rev} = \left(\frac{q_H}{q_L}\right)_{rev} - 1$$

$$COP_{HP,rev} = \frac{1}{1 - \frac{T_L}{T_H}}$$

$$COP_{R,rev} = \frac{T_H}{T_L} - 1$$

An Energy-Flow diagram for Carnot Fridge/Heat Pump

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## Second Law – Will a Process Happen

**Carnot Principles**

- For heat engines in contact with the same hot and cold reservoir
- $P1: \eta_1 = \eta_2 = \eta_3$  (Equality)
- $P2: \eta_{real} < \eta_{rev}$  (Inequality)

$$\eta_{real} \leq \eta_{rev}$$

Processes satisfying Carnot Principles obeys the Second Law of Thermodynamics

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## Second Law – Will a Process Happen

**Clausius Inequality :**

- Sum of  $Q/T$  in a cyclic process must be zero for reversible processes and negative for real processes

$$\oint \frac{\delta Q}{T} \leq 0, \frac{kJ}{K} \quad \oint \frac{\delta q}{T} \leq 0, \frac{kJ}{kg \cdot K}$$

$$\oint \frac{\delta Q}{T} = 0, \text{ reversible} \quad \oint \frac{\delta Q}{T} < 0, \text{ real}$$

$$\oint \frac{\delta Q}{T} > 0, \text{ impossible}$$

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## Second Law – Will a Process Happen

Source

Sink

Steam Power Plant

$$\oint \frac{\delta Q}{T} = \frac{Q_H}{T_H} - \frac{Q_L}{T_L} \leq 0$$

$$\frac{Q_H}{T_H} - \frac{Q_L}{T_L} \leq 0$$

$$\frac{Q_H}{T_H} \frac{T_H}{Q_H} - \frac{T_H}{Q_H} \frac{Q_L}{T_L} \leq 0$$

$$1 - \left(\frac{Q_L}{Q_H}\right)_{rev} \frac{T_H}{T_L} \leq 0$$

$$1 - \frac{T_L}{T_H} \frac{T_H}{T_L} \leq 0$$

$$1 - 1 = 0$$

Processes satisfying Clausius Inequality obeys the Second Law of Thermodynamics

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### Entropy – Quantifying Disorder

**Entropy**

$$dS = \left( \frac{\delta Q}{T} \right)_{int\ rev}$$

**Entropy Change in a process**

$$\Delta S = S_2 - S_1 = \int_1^2 dS$$

$$\Delta S = S_2 - S_1 = \int_1^2 \left( \frac{\delta Q}{T} \right)_{int\ rev}$$

$\Delta S_{source} = \frac{Q_{out}}{T_H} = -\frac{Q_H}{T_H}$

$\Delta S_{sys} = \frac{Q_{in}}{T_H} = +\frac{Q_H}{T_H}$

$\Delta S_{sys} = \frac{Q_{out}}{T_L} = -\frac{Q_L}{T_L}$

$\Delta S_{sink} = \frac{Q_{in}}{T_L} = +\frac{Q_L}{T_L}$

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### Entropy – Quantifying Disorder

- **Entropy**
  - ✓ Quantitative measure of disorder or chaos
  - ✓ Is a system's property, just like the others
  - ✓ Does not depend on process path
  - ✓ Has values at every state

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### Entropy – Quantifying Disorder

**Entropy**

- ✓ Quantifies lost of energy quality
- ✓ Can be transferred by heat and mass or
- ✓ generated due to irreversibility factors:
  - Frictional forces between moving surfaces.
  - Fast expansion & compression.
  - Heat transfer at finite temperature difference.

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### Entropy – Quantifying Disorder

**Increase of Entropy Principle**

The entropy of an isolated (closed and adiabatic) system undergoing any process, will always increase.

$$\Delta S_{isolated} = \Delta S_{sys} + \Delta S_{surr} \geq 0$$

**For pure substance**  $\Delta S_{sys} = m(s_2 - s_1)$

$$\Delta S_{surr} = \frac{(Q_{in} - Q_{out})_{surr}}{T_{surr}}$$

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### Entropy – Quantifying Disorder

**Increase of Entropy Principle Proven**

– Consider the following cyclic process containing an irreversible forward path and a reversible return path

**Clausius Inequality**

$$\oint \frac{\delta Q}{T} = \int_1^2 \frac{\delta Q}{T} + \int_2^1 \left( \frac{\delta Q}{T} \right)_{int\ rev} \leq 0$$

**Entropy Change**  $S_1 - S_2 = \int_2^1 dS = \int_2^1 \left( \frac{\delta Q}{T} \right)_{int\ rev}$

**So:**  $\int_1^2 \frac{\delta Q}{T} + S_1 - S_2 \leq 0$  **Then:**  $S_2 - S_1 \geq \int_1^2 \frac{\delta Q}{T}$

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### Entropy – Quantifying Disorder

**Increase of Entropy Principle Proven**

– Consider the following cyclic process containing an irreversible forward path and a reversible return path

**Then entropy change for the closed system:**

$$\Delta S_{sys} = S_2 - S_1 \geq \int_1^2 \frac{\delta Q}{T}$$

$$\Delta S_{sys} = S_2 - S_1 = \int_1^2 \frac{\delta Q}{T}, \text{ rev.}$$

$$\Delta S_{sys} = S_2 - S_1 > \int_1^2 \frac{\delta Q}{T}, \text{ irrev.}$$

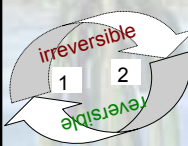
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### Entropy – Quantifying Disorder

**Increase of Entropy Principle Proven**

- Consider the following cyclic process containing an irreversible forward path and a reversible return path

**Then entropy change for the closed system:**



$$\Delta S_{sys} = S_2 - S_1 \geq \int_1^2 \frac{\delta Q}{T}$$

$$\Delta S_{sys} = S_2 - S_1 = \int_1^2 \frac{\delta Q}{T} + S_{gen}$$

$$\Delta S_{sys} = S_2 - S_1 = S_{heat} + S_{gen}$$

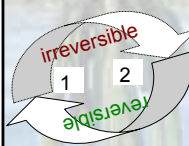
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### Entropy – Quantifying Disorder

**Increase of Entropy Principle Proven**

- Consider the following cyclic process containing an irreversible forward path and a reversible return path

**Then entropy change for the closed system:**



$$\Delta S_{sys} = S_2 - S_1 = S_{heat} + S_{gen}$$

**For adiabatic process:**

$$\Delta S_{adiab sys} = S_2 - S_1 = 0 + S_{gen}$$

$$S_2 - S_1 = S_{gen}$$

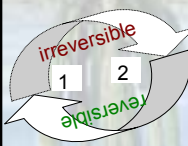
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### Entropy – Quantifying Disorder

**Increase of Entropy Principle Proven**

- Consider the following cyclic process containing an irreversible forward path and a reversible return path

**Then entropy change for the closed system:**



$$\Delta S_{sys} = S_2 - S_1 = S_{heat} + S_{gen}$$

**For adiabatic reversible system:**

$$\Delta S_{iso sys} = S_2 - S_1 = 0 + 0 \quad S_2 = S_1$$

**Isentropic or constant entropy process**

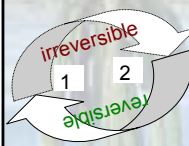
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### Entropy – Quantifying Disorder

**Increase of Entropy Principle Proven**

- Consider the following cyclic process containing an irreversible forward path and a reversible return path

**Then entropy change for the closed system:**



$$\Delta S_{sys} = S_2 - S_1 = S_{heat} + S_{gen}$$

**For isolated (adiabatic & closed) system**

$$\Delta S_{iso sys} = 0 + S_{gen} \geq 0$$

$$S_{gen} = \Delta S_{sys} + \Delta S_{surr} \geq 0$$

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### Entropy – Quantifying Disorder

#### T – S diagram

Area of curve under P – V diagram represents total work done  
 Area of curve under T – S diagram represents total heat transfer

Recall  $dS = \left(\frac{\delta Q}{T}\right)_{int rev}$  Hence total heat transfer is

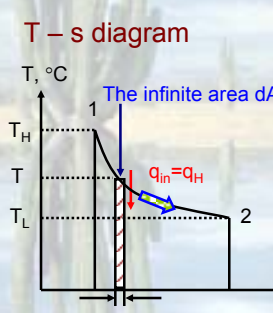
Then  $\delta Q_{int rev} = TdS \quad Q = \int_1^2 \delta Q_{int rev} = \int_1^2 TdS$

Area under T- S diagram is amount of heat in a process

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### Entropy – Quantifying Disorder

#### T – s diagram



$$A = \int_1^2 dA = \int_1^2 Tds = q_{in}$$

The infinite area dA = area of strip = Tds

•Adding all the area of the strips from state 1 to state 2 will give the total area under process curve. It represents specific heat received for this process

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## Entropy – Quantifying Disorder

### Factors affecting Entropy (disorder)

- Entropy will change when there is
  - Heat transfer (receiving heat increases entropy)
  - Mass transfer (moving mass changes entropy)
  - Irreversibilities (entropy will always be generated)

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## Entropy – Quantifying Disorder

### Entropy Balance

- For any system undergoing any process,
  - Energy must be conserved ( $E_{in} - E_{out} = \Delta E_{sys}$ )
  - Mass must be conserved ( $m_{in} - m_{out} = \Delta m_{sys}$ )
  - Entropy will always be generated except for reversible processes
    - ❖ Entropy balance is ( $S_{in} - S_{out} + S_{gen} = \Delta S_{sys}$ )

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## Entropy – Quantifying Disorder

### Entropy Balance –Closed system

**Energy Balance:**  $q_{in} - q_{out} + w_{in} - w_{out} = \Delta u + \Delta ke + \Delta pe$

**Entropy Balance:**  $s_{in} - s_{out} + s_{gen} = \Delta s_{sys} \cdot \frac{kJ}{kg \cdot K}$

$(s_{heat} + s_{mass})_{in} - (s_{heat} + s_{mass})_{out} + s_{gen} = \Delta s_{sys}$

$s_{gen} = \Delta s_{sys} + (s_{heat} + 0)_{out} - (s_{heat} + 0)_{in} \cdot \frac{kJ}{kg \cdot K}$

$s_{gen} = m(s_2 - s_1)_{sys} + \left( \frac{q_{out}}{T_{out}} - \frac{q_{in}}{T_{in}} \right)_{sys} \cdot \frac{kJ}{kg \cdot K}$

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## Entropy – Quantifying Disorder

### Entropy Balance –Steady-flow device

$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \left( \dot{m} g \right)_{out} - \left( \dot{m} g \right)_{in}, kW$

$\dot{S}_{in} - \dot{S}_{out} + \dot{S}_{gen} = \Delta S_{sys} = 0$  So,  $\dot{S}_{gen} = \dot{S}_{out} - \dot{S}_{in}$

**Then:**  $\dot{S}_{gen} = \left( \dot{S}_{heat} + \dot{S}_{mass} \right)_{out} - \left( \dot{S}_{heat} + \dot{S}_{mass} \right)_{in}$

$\dot{S}_{gen} = \frac{\dot{Q}_{out}}{T_{out}} + \left( \sum \dot{m} s \right)_{exit} - \frac{\dot{Q}_{in}}{T_{in}} - \left( \sum \dot{m} s \right)_{inlet}$

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## Entropy – Quantifying Disorder

### Entropy Balance –Steady-flow device

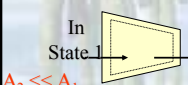
**Nozzle:**  $\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \dot{m}(g_{exit} - g_{inlet}), kW$

Assume adiabatic, no work done,  $\Delta pe_{mass} = 0$  where  $\dot{m}_{inlet} = \dot{m}_{exit} = \dot{m}$

$0 - 0 + 0 - 0 = \dot{m}(h_2 + ke_2 - h_1 - ke_1), kW$

**Entropy Balance**  $\dot{S}_{gen} = \frac{\dot{Q}_{out}}{T_{out}} - \frac{\dot{Q}_{in}}{T_{in}} + \dot{m}_2 s_2 - \dot{m}_1 s_1, \frac{kW}{K}$

$\dot{S}_{gen} = 0 - 0 + \dot{m}(s_2 - s_1), \frac{kW}{K}$



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## Entropy – Quantifying Disorder

### Entropy Balance –Steady-flow device

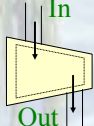
**Turbine:**  $\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \dot{m}(g_{exit} - g_{inlet}), kW$

Assume adiabatic,  $\Delta ke_{mass} = 0$ ,  $\Delta pe_{mass} = 0$  where  $\dot{m}_{inlet} = \dot{m}_{exit} = \dot{m}$

$0 - 0 + 0 - \dot{W}_{out} = \dot{m}(h_2 - h_1), kW$

$\dot{S}_{gen} = \frac{\dot{Q}_{out}}{T_{out}} - \frac{\dot{Q}_{in}}{T_{in}} + \dot{m}_2 s_2 - \dot{m}_1 s_1, \frac{kW}{K}$

**Entropy Balance**  $\dot{S}_{gen} = 0 - 0 + \dot{m}(s_2 - s_1), \frac{kW}{K}$



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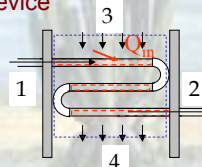
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#### Entropy Balance –Steady-flow device

Heat exchanger: energy balance; 2 cases

where  $\dot{m}_{inlet} = \dot{m}_{exit} = \dot{m}$

Assume  $\Delta ke_{mass} = 0, \Delta pe_{mass} = 0$



**Case 1**

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \dot{m}(g_{exit} - g_{inlet}), kW$$

$$0 = \dot{m}_4 h_4 - \dot{m}_3 h_3 + \dot{m}_2 h_2 - \dot{m}_1 h_1, kW$$

**Case 2**

$$\dot{Q}_{in} - \dot{Q}_{out} = \dot{m}_2 h_2 - \dot{m}_1 h_1, kW$$

$$\dot{Q}_{in} - 0 = \dot{m}_2 h_2 - \dot{m}_1 h_1, kW$$

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Heat exchanger:

Entropy Balance

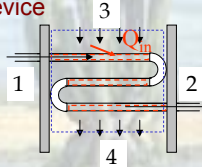
where  $\dot{m}_{inlet} = \dot{m}_{exit} = \dot{m}$

**Case 1**

$$\dot{S}_{gen} = 0 - 0 + \dot{m}_4 s_4 - \dot{m}_3 s_3 + \dot{m}_2 s_2 - \dot{m}_1 s_1, \frac{kW}{K}$$

**Case 2**

$$\dot{S}_{gen} = \frac{\dot{Q}_{out}}{T_{out}} - \frac{\dot{Q}_{in}}{T_{in}} + \dot{m}_2 s_2 - \dot{m}_1 s_1, \frac{kW}{K}$$



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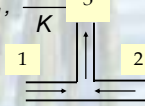
Mixing Chamber:

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \sum (\dot{m} g)_{exit} - \sum (\dot{m} g)_{inlet}, kW$$

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} = \dot{m}_3 h_3 - \dot{m}_2 h_2 - \dot{m}_1 h_1, kW$$

$$\dot{S}_{gen} = \frac{\dot{Q}_{out}}{T_{out}} - \frac{\dot{Q}_{in}}{T_{in}} + \dot{m}_3 s_3 - \dot{m}_2 s_2 - \dot{m}_1 s_1, \frac{kW}{K}$$

where  $\dot{m}_{inlet} = \dot{m}_{exit}$



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