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## Thermodynamics Lecture Series

### Assoc. Prof. Dr. J.J.

### First Law of Thermodynamics & Energy Balance – Control Mass, Open System



Applied Sciences Education Research Group (ASERG)  
Faculty of Applied Sciences  
Universiti Teknologi MARA  
email: [drjlanita@hotmail.com](mailto:drjlanita@hotmail.com)  
<http://www.uitm.edu.my/staff/drj/>

## Quotes

*"One who learns by finding out has sevenfold the skill of the one who learned by being told." - Arthur Gutterman*

*"The roots of education are bitter, but the fruit is sweet." -Aristotle*

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## Research Findings

Research Findings – Retention % of Learning After 3 days period

Read only – 10%	See only 30%
Hear only – 20%	See + hear – 50%
Say only – 70%	
Say & do simultaneously - 90%	

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## CHAPTER 4

### The First Law of Thermodynamics

Goal: Identifying sources of energy interactions and write energy balances thermodynamic processes

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## Introduction

*Objectives:*

1. State the conservation of energy principle.
2. Write an **energy balance** for a general system undergoing any process.
3. Write the **unit-mass basis** and **unit-time basis (or rate-form basis)** energy balance for a general system undergoing any process.
4. Identify the energies causing the system to change.

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## Introduction

*Objectives:*

5. Identify the energy changes within the system.
6. Write the energy balance in terms of all the energies causing the change and the energy changes within the system.
7. Write a **unit-mass basis** and **unit-time basis (or rate-form basis)** energy balance in terms of all the energies causing the change and the energy changes within the system.

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## Introduction

*Objectives:*

8. State the conditions for **stationary, closed system** and rewrite the energy balance and the unit-mass basis energy balance for stationary-closed systems.
9. Apply the energy conservation principle for a **stationary, closed system** undergoing an **adiabatic process** and discuss its physical interpretation.



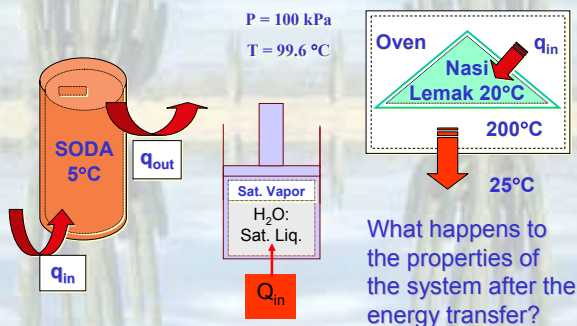
## Introduction

*Objectives:*

10. Apply the energy conservation principle for a **stationary, closed system** undergoing an **isochoric, isothermal, cyclic and isobaric process** and discuss its physical interpretation.
11. Give the meaning for specific heat and state its significance in determining internal energy and enthalpy change for ideal gases, liquids and solids.
12. Use the energy balance for problem solving.

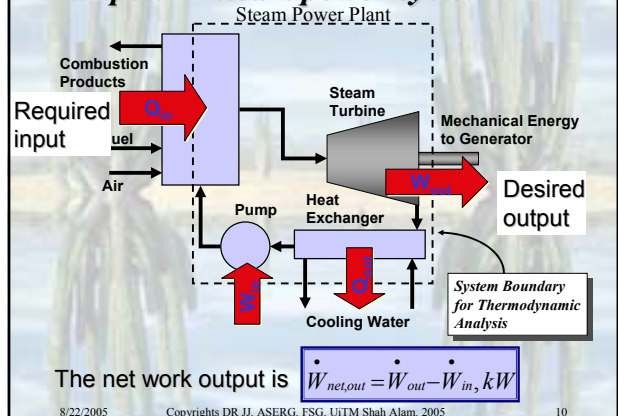


### Energy Transfer -Heat Transfer



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### Example: A steam power cycle.

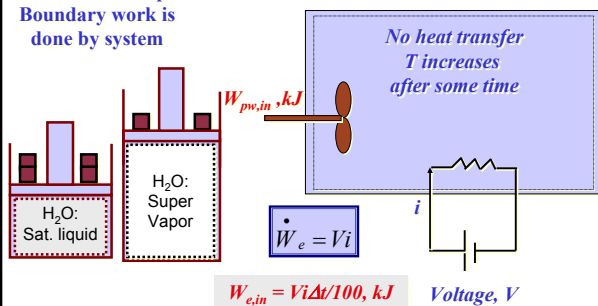


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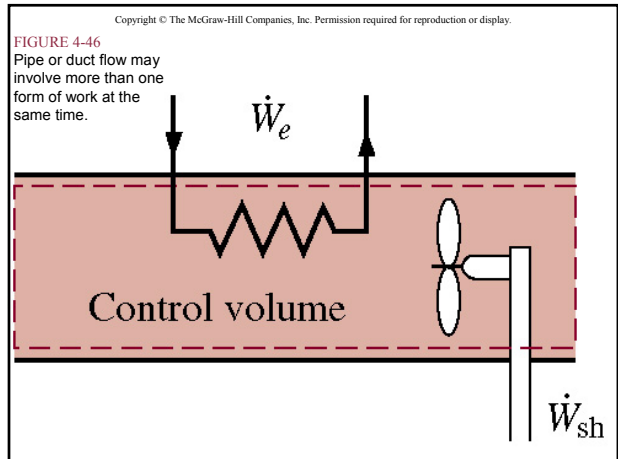
### Energy Transfer – Work Done

**Mechanical work:**  
Piston moves up  
Boundary work is done by system

**Electrical work is done on system**



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### First Law – Energy Transfer

**System's initial total energy is**  
 $E_1 = U_1 + KE_1 + PE_1$  or  
 $e_1 = u_1 + ke_1 + pe_1, \text{ kJ/kg}$

System  
 Total energy  
 $E_1$

Can it change?  
How? Why?

System in thermal equilibrium

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### First Law – Energy Transfer

$E_1 = U_1 + KE_1 + PE_1$

System,  
 $E_1$

System

Movable boundary position gone up

System expands

A change has taken place.

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### First Law – Energy Transfer

$E_1 = U_1 + KE_1 + PE_1$

Initial  
 System,  
 $E_1$

System

Final  
 System

Movable boundary position gone up

System expands

**System's final energy is  $E_2 = U_2 + KE_2 + PE_2$**

A change has taken place

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### First Law – Energy Transfer

How to relate changes to the cause

System  
 $E_1, P_1, T_1, V_1$   
 To  
 $E_2, P_2, T_2, V_2$

Properties will change indicating change of state

$\dot{Q}_{in}, kW$   
 $q_{in}, \text{ or } Q_{in}$   
 $\dot{Q}_{out}, kW$   
 $q_{out}, \text{ or } Q_{out}$

→

←

Heat as a cause (agent) of change

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### First Law – Energy Transfer

How to relate changes to the cause

System  
 $E_1, P_1, T_1, V_1$   
 To  
 $E_2, P_2, T_2, V_2$

Properties will change indicating change of state

$\dot{W}_{in}, kW$   
 $W_{in}, \omega_{in}, \text{ kJ/kg}$   
 $W_{out}, \omega_{in}, \text{ kJ/kg}$   
 $\dot{W}_{out}, kW$

→

←

Work as a cause (agent) of change

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### First Law – Energy Transfer

How to relate changes to the cause

System  
 $E_1, P_1, T_1, V_1$   
 To  
 $E_2, P_2, T_2, V_2$

Properties will change indicating change of state

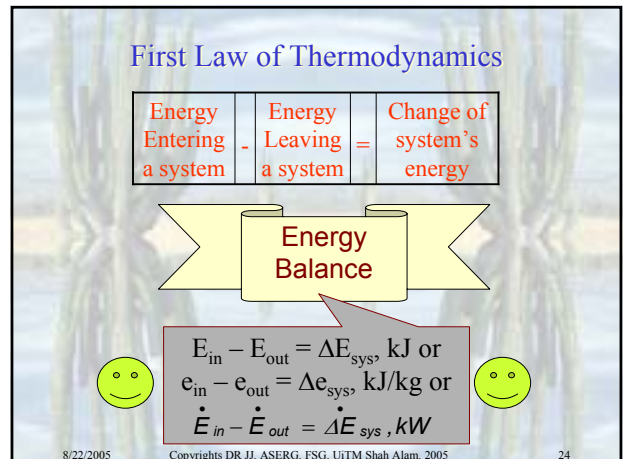
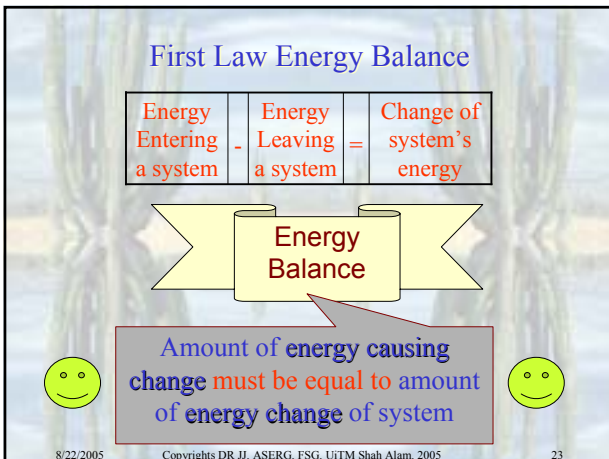
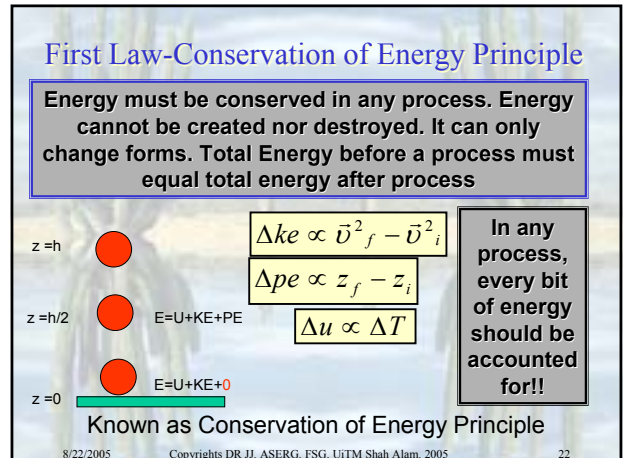
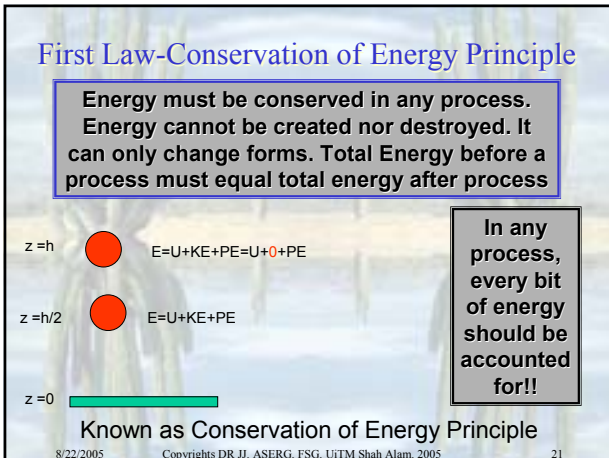
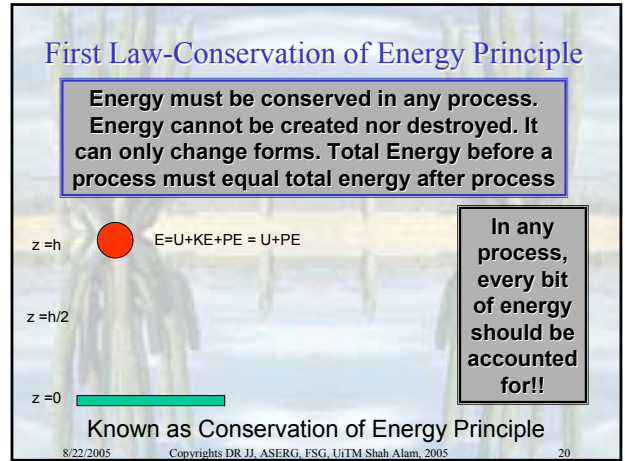
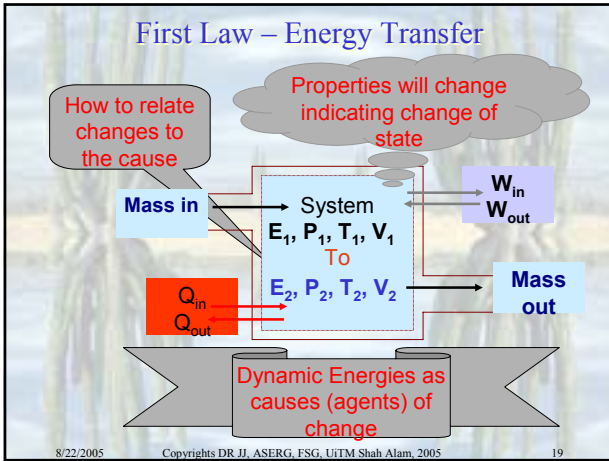
$\dot{E}_{mass, in} = (\dot{m} g)_{in}, kW$   
 $(g)_{in}, \text{ kJ/kg}$

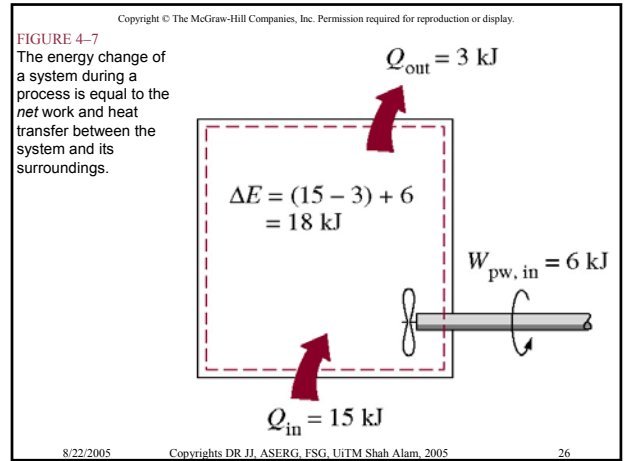
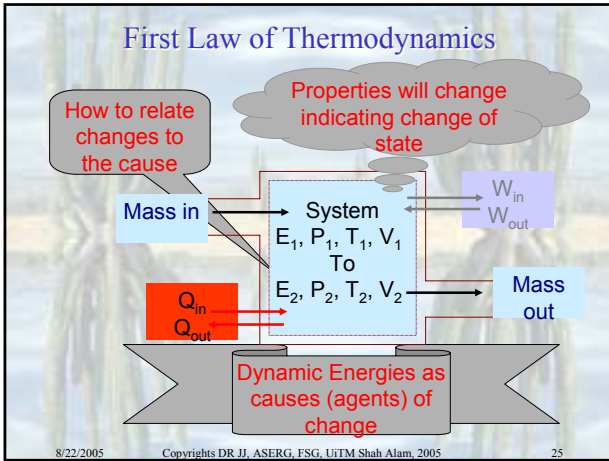
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$(\dot{m} g)_{out}, kW$   
 $(g)_{out}, \text{ kJ/kg}$

Mass transfer as a cause (agent) of change

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### First Law – Interaction Energies

#### Energy Balance – The Agent

$$E_{in} = Q_{in} + W_{in} + E_{mass, in}, \text{kJ}$$

$$e_{in} = q_{in} + \omega_{in} + \theta_{in}, \text{kJ/kg}$$

$$\dot{E}_{in} = \dot{Q}_{in} + \dot{W}_{in} + \dot{E}_{mass, in}; \text{kW}$$

**For Closed system:  $E_{mass, in} = 0, \text{kJ}, \theta_{in} = 0, \text{kJ/kg}$**

$$\dot{E}_{mass, in} = 0, \text{kW}$$

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### First Law - Interaction Energies

#### Energy Balance – The Agent

$$E_{out} = Q_{out} + W_{out} + E_{mass, out}, \text{kJ}$$

$$e_{out} = q_{out} + \omega_{out} + \theta_{out}, \text{kJ/kg}$$

$$\dot{E}_{in} = \dot{Q}_{out} + \dot{W}_{out} + \dot{E}_{mass, out}; \text{kW}$$

**For Closed system:  $E_{mass, out} = 0, \text{kJ}, \theta_{out} = 0, \text{kJ/kg}$**

$$\dot{E}_{mass, out} = 0, \text{kW}$$

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### First Law - System's Energy

#### Energy Balance – The Change Wlthin

Energy change within the system,  $\Delta E_{sys} = E_2 - E_1$  is the sum of

Internal energy change,  $\Delta U = U_2 - U_1$

kinetic energy change,  $\Delta KE = KE_2 - KE_1$

potential energy change,  $\Delta PE = PE_2 - PE_1$

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### First Law – Energy Change

#### Energy Balance – The Change Wlthin

$$\Delta E_{sys} = \Delta U + \Delta KE + \Delta PE, \text{kJ}$$

$$\Delta e_{sys} = \Delta u + \Delta ke + \Delta pe, \text{kJ/kg}$$

$$\Delta \dot{E}_{sys} = \Delta \dot{U} + \Delta \dot{KE} + \Delta \dot{PE}, \text{kW}$$

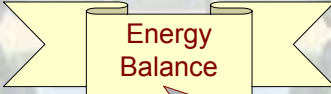
**For Stationary system:  $\Delta KE = \Delta PE = 0, \text{kJ}$**

$$\Delta ke = \Delta pe = 0, \text{kJ/kg} \quad \Delta \dot{KE} = \Delta \dot{PE} = 0$$

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### First Law – General Energy Balance

Energy Entering a system	-	Energy Leaving a system	=	Change of system's energy
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**Energy Balance**

$$E_{in} - E_{out} = \Delta E_{sys}, \text{ kJ or}$$

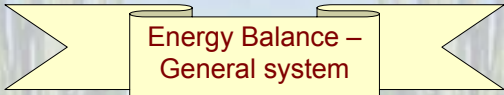
$$e_{in} - e_{out} = \Delta e_{sys}, \text{ kJ/kg or}$$

$$\dot{E}_{in} - \dot{E}_{out} = \dot{\Delta E}_{sys}, \text{ kW}$$

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### First Law – General Energy Balance





**Energy Balance – General system**

$$Q_{in} + W_{in} + E_{mass,in} - Q_{out} - W_{out} - E_{mass,out} = \Delta U + \Delta KE + \Delta PE, \text{ kJ}$$

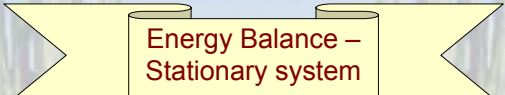
$$q_{in} + \omega_{in} + \theta_{in} - q_{out} - \omega_{out} - \theta_{out} = \Delta u + \Delta ke + \Delta pe, \text{ kJ/kg}$$

$$\dot{Q}_{in} + \dot{W}_{in} + \dot{E}_{mass,in} - \dot{Q}_{out} - \dot{W}_{out} - \dot{E}_{mass,out} = \Delta \dot{U} + \Delta \dot{KE} + \Delta \dot{PE}, \text{ kW}$$

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### First Law – Stationary System





**Energy Balance – Stationary system**

$$Q_{in} - Q_{out} + W_{in} - W_{out} + E_{mass,in} - E_{mass,out} = \Delta U + 0 + 0$$

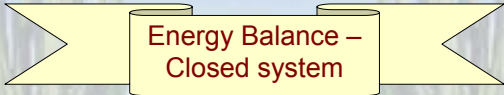
$$q_{in} - q_{out} + \omega_{in} - \omega_{out} + \theta_{in} - \theta_{out} = \Delta u + 0 + 0, \text{ kJ/kg}$$

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} + \dot{E}_{mass,in} - \dot{E}_{mass,out} = \Delta \dot{U} + 0 + 0$$

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### First Law – Closed System





**Energy Balance – Closed system**

$$Q_{in} - Q_{out} + W_{in} - W_{out} + 0 - 0 = \Delta U + \Delta KE + \Delta PE, \text{ kJ}$$

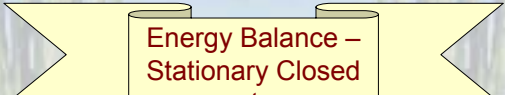
$$q_{in} - q_{out} + \omega_{in} - \omega_{out} + 0 - 0 = \Delta u + \Delta ke + \Delta pe, \text{ kJ/kg}$$

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} + 0 - 0 = \Delta \dot{U} + \Delta \dot{KE} + \Delta \dot{PE}, \text{ kW}$$

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### First Law – Stationary & Closed





**Energy Balance – Stationary Closed system**

$$Q_{in} - Q_{out} + W_{in} - W_{out} + 0 - 0 = \Delta U + 0 + 0$$

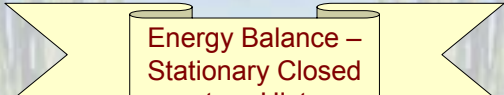
$$q_{in} - q_{out} + \omega_{in} - \omega_{out} + 0 - 0 = \Delta u + 0 + 0, \text{ kJ/kg}$$

$$\dot{Q}_{in} - \dot{Q}_{out} + \dot{W}_{in} - \dot{W}_{out} + 0 - 0 = \Delta \dot{U} + 0 + 0$$

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### First Law – Historical Perspective





**Energy Balance – Stationary Closed system-History**

$$(Q_{in} - Q_{out}) + (W_{in} - W_{out}) = (Q_{in} - Q_{out}) - (W_{out} - W_{in})$$

$$= Q_{net,in} - W_{net,out}$$

$$= Q - W$$

$$q - \omega = q_{net,in} - \omega_{net,out} = (q_{in} - q_{out}) - (\omega_{out} - \omega_{in})$$

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### First Law – Adiabatic Process

**Energy Balance  
Stationary Closed  
system - Special**

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**Adiabatic:**  $0 - 0 + \dot{W}_{in} - \dot{W}_{out} + 0 - 0 = \Delta U + 0 + 0$

$0 - 0 + \dot{\omega}_{in} - \dot{\omega}_{out} + 0 - 0 = \Delta u + 0 + 0, \text{ kJ/kg}$

$\dot{\omega}_{in} = \dot{\omega}_{elec} + \dot{\omega}_{pw} + \dot{\omega}_{b,compress}$  and  $\dot{\omega}_{out} = \dot{\omega}_{b,expand}$  kJ/kg

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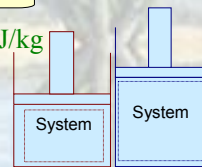
### First Law – Adiabatic Process

**Energy Balance  
Closed Stationary  
system - Special**

😊

**Adiabatic:**  $\dot{\omega}_{in} - \dot{\omega}_{out} = \Delta u + 0 + 0, \text{ kJ/kg}$

**Spontaneous Expansion:** piston-cylinder device



$\dot{\omega}_{in} = 0, \text{ and } \dot{\omega}_{out} = \dot{\omega}_{b,expand}$  kJ/kg

**Work is expansion work:**  $0 - \dot{\omega}_{out} = -\dot{\omega}_{b,expand} = \Delta u < 0$

$u_2 < u_1$ . Final  $u$  is smaller than initial  $u$ , **T drops**

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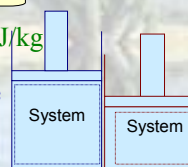
### First Law – Adiabatic Process

**Energy Balance  
Closed Stationary  
system - Special**

😊

**Adiabatic:**  $\dot{\omega}_{in} - \dot{\omega}_{out} - 0 = \Delta u + 0 + 0, \text{ kJ/kg}$

**Compression:** piston-cylinder device



$\dot{\omega}_{out} = 0, \text{ and } \dot{\omega}_{in} = \dot{\omega}_{b,compress}$  kJ/kg

**Work is compression work:**  $\dot{\omega}_{in} = \dot{\omega}_{b,compress} = \Delta u > 0$

$u_2 > u_1$ . Final  $u$  is bigger than initial  $u$ ; **T increases**

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### First Law – Cyclic Process

**Energy Balance  
Closed Stationary  
system - Special**

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**Cyclic:**  $Q_{in} - Q_{out} + \dot{W}_{in} - \dot{W}_{out} + 0 - 0 = \Delta E_{sys} = 0$

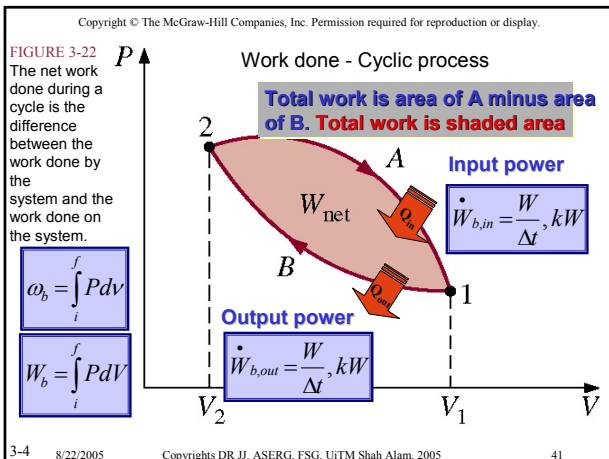
$q_{in} - q_{out} + \dot{\omega}_{in} - \dot{\omega}_{out} + 0 - 0 = \Delta e_{sys} = 0, \text{ kJ/kg}$

$q_{in} - q_{out} = \dot{\omega}_{out} - \dot{\omega}_{in}$  or  $q_{net,in} = \dot{\omega}_{net,out}$

**Expansion:**  $q_{in} - 0 = \dot{\omega}_{out} = \dot{\omega}_{b,expand}$

**All heat absorbed is used to do expansion work**

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### First Law – Cyclic Process

**Energy Balance  
Closed Stationary  
system - Special**

😊

**Cyclic:**  $Q_{in} - Q_{out} + \dot{W}_{in} - \dot{W}_{out} + 0 - 0 = \Delta E_{sys} = 0$

$q_{in} - q_{out} + \dot{\omega}_{in} - \dot{\omega}_{out} + 0 - 0 = \Delta e_{sys} = 0, \text{ kJ/kg}$

$q_{in} - q_{out} = \dot{\omega}_{out} - \dot{\omega}_{in}$

**Compression:**  $0 - \dot{\omega}_{in} = -\dot{\omega}_{b,compress} = q_{in} - q_{out} = -q_{out}$

**All compression work is removed as heat**

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## First Law – Isochoric Process

Energy Balance  
Closed Stationary  
system - Special



**Isochoric Rigid Tank** :  $Q_{in} - Q_{out} + W_{in} - W_{out} + 0 - 0 = \Delta U$

$$q_{in} - q_{out} + \omega_{in} - \omega_{out} + 0 - 0 = \Delta u, \text{ kJ/kg}$$

$$\text{Since, } \omega_{in} - \omega_{out} = \omega_{others} + 0 = \omega_{elec} + \omega_{pw} + 0 + 0$$

$$\text{Then, } q_{in} - q_{out} + \omega_{elec} + \omega_{pw} + 0 + 0 = \Delta u$$

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## First Law - Isobaric Process

Energy Balance  
Closed Stationary  
system - Special



**Isobaric Piston-Cyl** :  $Q_{in} - Q_{out} + W_{in} - W_{out} + 0 - 0 = \Delta U$

$$q_{in} - q_{out} + \omega_{in} - \omega_{out} + 0 - 0 = \Delta u, \text{ kJ/kg}$$

$$\text{For expansion, } \omega_{in} - \omega_{out} = \omega_{elec} + \omega_{pw} - \omega_{b,expand}$$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} + 0 - 0 = \omega_{b,expand} + \Delta u, \text{ kJ/kg}$$

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## First Law - Isobaric Process

Energy Balance  
Closed Stationary  
system - Special



**Isobaric expansion**  $q_{in} - q_{out} + \omega_{in} - \omega_{out} + 0 - 0 = \Delta u, \text{ kJ/kg}$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} + 0 - 0 = \omega_{b,expand} + \Delta u, \text{ kJ/kg}$$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} = \int_{initial}^{final} P d v + \Delta u = P \int_1^2 d v + \Delta u$$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} = P_2 v_2 - P_1 v_1 + u_2 - u_1$$

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## First Law - Isobaric Process

Energy Balance  
Closed Stationary  
system - Special



**Isobaric expansion**

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} = \int_{initial}^{final} P d v + \Delta u = P \int_1^2 d v + \Delta u$$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} = P_2 v_2 - P_1 v_1 + u_2 - u_1$$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} = P_2 v_2 + u_2 - (P_1 v_1 + u_1)$$

$$q_{in} - q_{out} + \omega_{elec} + \omega_{pw} = h_2 - h_1 = \Delta h$$

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## First Law - Closed System

Energy Balance  
Closed Stationary  
system



$$q_{in} - q_{out} + \omega_{in} - \omega_{out} + 0 - 0 = \Delta u, \text{ kJ/kg}$$

For pure substances, use property table and mathematical manipulations to determine  $u_2$  and  $u_1$ . Then  $\Delta u = u_2 - u_1$ .

And  $h_2$  and  $h_1$ . Then  $\Delta h = h_2 - h_1$ .

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## First Law – Specific Heat

Energy Balance  
Closed Stationary  
system  $C_v, C_p$



Specific heats to find  $\Delta U$  and  $\Delta H$

Specific Heat Capacity  
 $C_v$  at constant volume,  $C_p$  at constant pressure

Amount of heat necessary to increase temperature of a unit mass by 1K or 1degree Celcius

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### First Law –Specific Heat

**Energy Balance  
Closed Stationary  
system  $C_v, C_p$**

For Ideal Gases: Estimate internal energy change and enthalpy change  
 Assume smooth change of C with T, & approximate to be linear over small  $\Delta T$  (approx. a few hundred degrees)

$$\Delta u = \int_1^2 C_v dT \cong C_{v,avg} (T_2 - T_1) = C_{v,avg} \Delta T$$

$$\frac{kJ}{kg \cdot K}$$

$$\Delta h = \int_1^2 C_p dT \cong C_{p,avg} (T_2 - T_1) = C_{p,avg} \Delta T$$

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### First Law –Specific Heat

**Energy Balance  
Closed Stationary  
system  $C_v, C_p$**

For Ideal Gases : Estimate internal energy change and enthalpy change  
 Assume smooth change of C with T, & approximate to be linear over small  $\Delta T$  (approx. a few hundred degrees)

$C_{v,avg}$  is determined using interpolation technique & use Table A-2b

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### First Law –Specific Heat

**Energy Balance  
Closed Stationary  
system  $C_v, C_p$**

For solids & Liquids: May consider as incompressible or constant volume

$C_v = C_p = C, kJ/kg \cdot K, \quad \Delta u = C_{av} (T_2 - T_1), kJ/kg$

Enthalpy  $h = u + Pv, \quad$  So,  $dh = du + v dP + P dv, kJ/kg$   
 Hence the enthalpy change,  $\Delta h = \Delta u + v \Delta P + P \Delta v, kJ/kg$   
 $\Delta h = C_{av} \Delta T + v \Delta P + P \Delta v, kJ/kg$

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### First Law –Specific Heat

**Energy Balance  
Closed Stationary  
system  $C_v, C_p$**

For solids & liquids:  $\Delta u = C_{av} (T_2 - T_1), kJ/kg$

$C_v = C_p = C, kJ/kg \cdot K,$

For solids: Enthalpy,  $\Delta h = C_{av} \Delta T + v \Delta P + 0, kJ/kg$

Since,  $v \Delta P = 0,$  Then,  $\Delta h \cong C_{av} \Delta T, kJ/kg$

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### First Law –Specific Heat

**Energy Balance  
Closed Stationary  
system  $C_v, C_p$**

For Liquids:  $\Delta u = C_{av} (T_2 - T_1), kJ/kg$

Enthalpy,  $\Delta h = C_{av} \Delta T + v \Delta P + 0, kJ/kg$

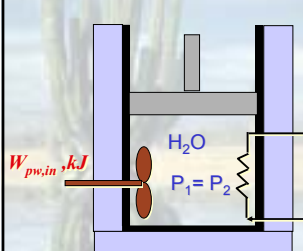
Heaters where  $\Delta P = 0, \quad \Delta h = \Delta u \cong C_{av} \Delta T, kJ/kg$

Pumps where  $\Delta T = 0, \quad \Delta h = v \Delta P, kJ/kg$

Or written as  $h_2 - h_1 = v(P_2 - P_1)$

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### First Law –Example – Prob 4-20

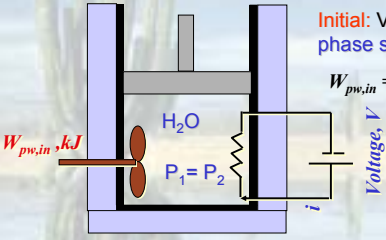


Initial:  $V = 5 \text{ L}$   
 phase sat. liq.  $P = 150 \text{ kPa},$   
 $W_{pw,in} = 300 \text{ kJ},$   
 $\Delta t = 45 \text{ min} \times 60 \text{ s/min}$   
 $\Delta t = 45 \times 60 \text{ s}$   
 Current,  $i = 8 \text{ A},$

Final phase is sat. liq.-vapor mix at 150 kPa. Quality of steam is 0.5. Since  $m_g = m/2,$  hence  $x = m_g/m = m/2m = 0.5.$

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### First Law –Example – Prob 4-20



**Initial:**  $V = 5 \text{ L}$   
 phase sat. liq.  $P = 150 \text{ kPa}$ ,  
 $W_{pw,in} = 300 \text{ kJ}$ ,  
 $\Delta t = 45 \text{ min} \times 60 \text{ s/min}$   
 $\Delta t = 45 \times 60 \text{ s}$   
**Current,  $i = 8 \text{ A}$ ,**  
 $x_2 = 0.5$

$V_1 = v_f @ 150 \text{ kPa} = 0.0010528 \text{ m}^3/\text{kg}$   
 $h_1 = h_f @ 150 \text{ kPa} = 467.1 \text{ kJ/kg}$

$V_2 = [v_f + x_2 v_{fg}] @ 150 \text{ kPa} = 0.579938 \text{ m}^3/\text{kg}$   
 $h_2 = [h_f + x h_{fg}] @ 150 \text{ kPa} = 1580 \text{ kJ/kg}$

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### First Law –Example – Prob 4-20

**Energy balance,**

$$E_{in} - E_{out} = \Delta E_{sys}$$

$$0 + W_{in} + 0 - 0 - W_{out} - 0 = \Delta U + 0 + 0, \text{ kJ}$$

$$W_{pw,in} + W_{e,in} = \Delta U + W_{out} \quad \text{where} \quad W_{b,out} = \int_1^2 PdV$$

Then  $W_{e,in} = U_2 - U_1 + P_2 V_2 - P_1 V_1 - W_{pw,in}$

$$W_{e,in} = U_2 + P_2 V_2 - (U_1 + P_1 V_1) - W_{pw,in}$$

$$W_{e,in} = H_2 - H_1 - W_{pw,in} = \Delta H - W_{pw,in}$$

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### First Law –Example – Prob 4-20

**Energy balance,**

$$E_{in} - E_{out} = \Delta E_{sys}$$

Since  $W_{e,in} = H_2 - H_1 - W_{pw,in} = \Delta H - W_{pw,in}$

Electrical work done is  $\frac{Vi\Delta t}{1000} = m\Delta h - W_{pw,in}, \text{ kJ}$

Voltage source is  $V = \frac{1000 (m\Delta h - W_{pw,in})}{i\Delta t}, \text{ kJ}$

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### First Law –Example – Prob 4-20

**Energy balance,**

$$E_{in} - E_{out} = \Delta E_{sys}$$

Voltage source is

$$V = \frac{1000 [(5 \text{ L} \times 10^{-3} \text{ m}^3 / \text{L}) (1580.36 - 467.11) \text{ kJ} / \text{kg} - 300 \text{ kJ}]}{(8 \text{ A})(45 \text{ min} \times 60 \text{ s} / \text{min})}$$

Note that the unit  $\text{kJ/s} = \text{Volts-Ampere}$  or VA

$$V = \frac{230.9 \text{ kJ}}{\text{As}} = 230.9 \frac{\text{AV}}{\text{A}} = 230.9 \text{ V}$$

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